

## APPENDIX B FORMS

1. Signature and Seal Sheets
2. PS&E CADD Submittal Form
3. AADD CADD Submittal Form
4. Project Plan Review Check List
5. PE's Certification of Utility Facilities
6. Budget Verification of Highway Maintenance Funds
7. Budget Verification of Minor B Funds
8. Budget Verification of Special Funded Projects
9. Information Handout Cover Template
10. District PS&E Quality Feedback
11. FHWA Oversight Guidelines
12. FHWA Record of Prior Approval for Contract Addendum
13. Public Interest Finding Form

## CONTRACT NO. 00-000004

DESIGN OVERSIGHT APPROVAL	REGISTRATION NO.	DATE
PRINTED NAME      SIGNATURE		

Complete for projects prepared by consultants or local agencies only.

Approved as to impact on State facilities and conformance with applicable State standards and practices as described in the A & E Consultant Services Manual.

The special provisions contained herein have been prepared by or under the direction of the following Registered Persons.

### STRUCTURES

\_\_\_\_\_  
REGISTERED CIVIL ENGINEER



### HIGHWAY

\_\_\_\_\_  
REGISTERED CIVIL ENGINEER



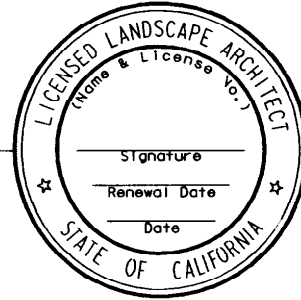
### TRAFFIC

\_\_\_\_\_  
REGISTERED CIVIL ENGINEER



## LANDSCAPE

\_\_\_\_\_  
LICENSED LANDSCAPE ARCHITECT



## ELECTRICAL (HIGHWAY)

\_\_\_\_\_  
REGISTERED CIVIL ENGINEER OR  
REGISTERED ELECTRICAL ENGINEER



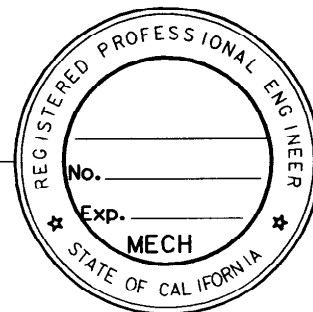
## ELECTRICAL (STRUCTURES)

\_\_\_\_\_  
REGISTERED ELECTRICAL ENGINEER



## MECHANICAL

\_\_\_\_\_  
REGISTERED MECHANICAL ENGINEER



STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION

**PS&E CADD SUBMITTAL**

DES-OE -0021 (Rev 03/28/01)

Date \_\_\_\_\_

This is a -- ☐ Original ☐ Revise/Replace ☐ Additional ☐ Addenda**Check Two**☐ District ☐ Structures☐ Imperial ☐ Metric

Dist.SourceEA	District/County/Route		
Post Mile or Kilometer. Post			
District Project Engineer		Phone Number	Project Manager
District Structures CADD Contact Person		Delineator E-Mail Address	Phone
		Fax	

**PROJECT IDENTIFICATION**

Node ID / Address / File Name		
Directory Size (Blocks/Bytes)	Total No. Files	Total CADD Sheets

**PS&E DIRECTORY CHECKLIST**

- |   |  |
|---|--|
| <input type="checkbox"/> Place Proper Signature                               | <input type="checkbox"/> File Design                                   |
| <input type="checkbox"/> Place Registration Seal Information                  | <input type="checkbox"/> Delete Unused Named Views                     |
| <input type="checkbox"/> Follow Leveling Convention (As Per Manual)           | <input type="checkbox"/> Directory / File Protection For DES-OE Access |
| <input type="checkbox"/> Follow Electronic File Naming Convention             | <input type="checkbox"/> Plot Files Rotated 0 or 90 Degrees            |
| <input type="checkbox"/> Remove Extraneous Information                        | <input type="checkbox"/> Plot Files Generated From Fenced Cut - lines  |
| <input type="checkbox"/> Place All Files In PS&E Directory                    | <input type="checkbox"/> Display Properly                              |
| <input type="checkbox"/> Display Correct Levels In Design Files               | <input type="checkbox"/> Specially Added Files (list)                  |
| <input type="checkbox"/> Check For Current Time/Date Stamp                    | _____  |
| <input type="checkbox"/> Final Check Print Created On Current Plotting System | _____  |
| <input type="checkbox"/> Establish Plot View For All Design Files             | _____  |
|   | _____  |
|   | _____  |

 DES -OE Engineer's Revised Submittal Approval

(Initials)  
DES -OE

**Special Instructions:**


---



---



---



---



---



---

**For DES-OE Project Plans  
Use Only**

To: \_\_\_\_\_

Date In

Date out

Package Received:

Received by: \_\_\_\_\_

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION

# PS&E CADD SUBMITTAL - AADD

DES-OE -0021A (New 08/27/01)

Date \_\_\_\_\_

This is a -- ☒ Original ☐ Revise/Replace ☐ Additional ☐ Addenda

## Check Two

☐ District ☐ Structures

☐ Imperial ☐ Metric

Dist.SourceEA	District/County/Route
Post Mile or Kilometer. Post	

District Project Engineer	Phone Number	Project Manager	Phone
District Structures CADD Contact Person		Delineator email address	Calnet/Fax

## PROJECT IDENTIFICATION

Node ID / Address / File Name		
Directory Size (Blocks/Bytes)	Total No. Files	Total TIFF Sheets

Special Instructions:

.....

For DES-OE Project Plans  
Use Only

To: \_\_\_\_\_

\_\_\_\_\_  
Date In

\_\_\_\_\_  
Date out

Package Received:

Received by: \_\_\_\_\_

# Project Plan Review Check List

(For District Or Structures Use)

THIS CHECKLIST SHALL BE COMPLETED BY THE DESIGNATED PROJECT REVIEWER ONLY -PLEASE PRINT CLEARLY

**DIST/STRUCTURES** ☐ District ☐ Structures **IMPERIAL/METRIC** ☐ Imperial ☐ Metric

**PROJECT I.D.** \_\_\_\_\_ **Dist/SourceEA** \_\_\_\_\_

DIST. / COUNTY (S) / RTE (S) / PM

**DATE REVIEWED** \_\_\_\_\_ **PROJ ENGR** \_\_\_\_\_ **PHONE** \_\_\_\_\_

**DRAFT REVIEWER** \_\_\_\_\_ **DIST O.E.** \_\_\_\_\_ **PHONE** \_\_\_\_\_

**IS THIS A CONSULTANT JOB** ☐ Yes ☐ No

**TYPE OF PLANS** ☐ CADD ☐ CONV ☐ Other

**TOTAL SHEETS**

**CADD CONV. OTHER**

## **PROJECT REVIEW CHECK RECOMMENDS...**

- ☐ SEND PLANS TO DES-OE WITH PS & E PACKAGE
- ☐ RETURN PLANS TO PROJ ENGR FOR ADDITIONAL CHANGES

### **TITLE SHEETS**

- ☐ Seal / Signatures
- Design Oversight Approval for Consultant Projects-
- ☐ Lower Left (Printed Name, Signature, Registration No., Date of Signature) and PS&E Note
- ☐ North Arrow / Bar Scale or No Scale
- ☐ Correct Contractor's Note (lower left)
- County(ies) Where Project Located Shown on Location Map (Outlined / Crosshatched)
- ☐ Project Description In Accordance With Section 2-2.1 (B) Of The Plans Preparation Manual
- ☐ Leave Sheet Index Blank
- ☐ Check All Lettering
- All Features In Project Title Must Be Identified On Strip Map
- ☐ Project E.A. In Lower Rt. Border
- ☐ Dist. / Co. and Disclaimer Note
- ☐ Show Destinations W / Arrow On Strip Map
- ☐ Show City or County Limits
- ☐ Show City Names Of Incorporated / Unincorporated Areas (caps / upper/lower respectively)
- Bridges Shown By Symbol, Also Show Bridge Name, Type (O.C. or U. C.) and Number if Structure Plans Are Included
- ☐ Label Waterways/Railroads and All Relevant St Names
- ☐ Identify All Signed Routes On Strip Map (use no shields)
- ☐ Show Construction Limits Or Location Of Construction Including Stationing and K.P.'s

### **PLAN SHEETS**

- ☐ Seal & Signature
- ☐ North Arrow (use appropriate size)
- ☐ Scale (if applicable)
- Proper Sheet Identification in Lower Rt. Comer Including The Correct Sheet Identification Code / Plan Number
- ☐ Use Standard Line Symbolology (dropout where applicable)
- ☐ Lettering Or Text (dropout where applicable)
- ☐ Drainage / Profile Grids (should be in dropout)
- ☐ Check Details For Reduction Suitability
- ☐ Other \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

### **IMPORTANT**

- ☐ Plot Verification \_\_\_\_\_  
initial
- ☐ Electronic Date / Time Stamp Indicated on plans

### **COMMENTS:**



(Mark appropriate box)

- ☐ This project does **not** contain deviations from the Policy on High and Low Risk Underground Facilities Within Highway Rights of Ways.
- ☐ This project contains deviations from the Policy on High and Low Risk Underground Facilities Within Highway Rights of Ways. These deviations from policy have been reviewed and approved by the Division Chief, Division of Design.

*I hereby certify that the above listed facilities are located within the project limits and that this project conforms to the Policy on High and Low Risk Underground Facilities:*

\_\_\_\_\_  
REGISTERED CIVIL ENGINEER  
(SIGNATURE)

DATE



Note: For purposes of this certification, PE is considered to be the person in responsible engineering charge of the project.



# BUDGET VERIFICATION

## Highway Maintenance Funds (Program 20.80)

AADD ☐ Standard ☐

DISTRICT: \_\_\_\_\_

RESPONSIBLE DISTRICT: \_\_\_\_\_

PROJECT EA: \_\_\_\_\_

LOCATION (S):

CTY: \_\_\_\_\_ RTE: \_\_\_\_\_ BEG/END PM: \_\_\_\_\_

CTY: \_\_\_\_\_ RTE: \_\_\_\_\_ BEG/END PM: \_\_\_\_\_

CTY: \_\_\_\_\_ RTE: \_\_\_\_\_ BEG/END PM: \_\_\_\_\_

CTY: \_\_\_\_\_ RTE: \_\_\_\_\_ BEG/END PM: \_\_\_\_\_

CTY: \_\_\_\_\_ RTE: \_\_\_\_\_ BEG/END PM: \_\_\_\_\_

(HM1 - Ln-Mi; HM2-151 - Culverts; HM3 - Bridges)

PROJECT  
DESCRIPTION:

WORK TYPE: \_\_\_\_\_

ESTIMATE OF COST: \_\_\_\_\_

FISCAL YEAR(S): \_\_\_\_\_

Contract Language: Fully Funded: \$ \_\_\_\_\_

Dollar Job: \$1 in FY \_\_\_\_\_ and \$ \_\_\_\_\_ in FY \_\_\_\_\_

SIGNATURE

(District Maintenance Engineer)

DATE

District Maintenance Funds have been allocated for this project:

SIGNATURE

(District Resources Manager)

DATE

SIGNATURE

(Maintenance Budgets Manager)

DATE

HQ HM Coordinator concurs with fund allocation:

SIGNATURE

(HQ HM Coordinator)

DATE

To Be Completed by Maintenance Engineering:

HM Funding:	Program Description:	TRAMS Code:	Mark
HM1 - Base	Base: Flexible (010); Rigid (020)	20.80.010.xxx	<input type="checkbox"/>
HM1 - PM	Preventive: Flex. (010); Rigid (020)	20.80.010.xxx	<input type="checkbox"/>
HM1 - 122	Pavement Preservation	20.80.010.122	<input type="checkbox"/>
HM2 - Roadside	Roadside	20.80.020.xxx	<input type="checkbox"/>
HM2 - 151	Drainage Preservation	20.80.020.151	<input type="checkbox"/>
HM3 - Base	Bridges	20.80.030.080	<input type="checkbox"/>
HM3 -115	Bridge Preservation	20.80.030.115	<input type="checkbox"/>
HM4	Electrical/Traffic Guidance	20.80.040.xxx	<input type="checkbox"/>
HM5	Maintenance Facilities	20.80.050.xxx	<input type="checkbox"/>
Others		20.80.	<input type="checkbox"/>

To Be Completed by Maintenance Engineering:

# of Ln-Mi/Bridges/Culvers: \_\_\_\_\_

# of Ln-Mi/Bridges/Culvers: \_\_\_\_\_

# of Ln-Mi/Bridges/Culvers: \_\_\_\_\_

# of Ln-Mi/Bridges/Culvers: \_\_\_\_\_

# of Ln-Mi/Bridges/Culvers: \_\_\_\_\_

Total # for Project: \_\_\_\_\_

**BUDGET VERIFICATION  
OF  
MINOR B FUNDS**  
(Program 20. )

DISTRICT:

EXPENDITURE AUTHORIZATION:

COUNTY:

ROUTE:

KILOMETER POST:

ESTIMATE OF COST:

FUNDS ALLOCATED:

FISCAL YEAR(S):

REMARKS:

Project Manager

---

SIGNATURE

---

DATE

**District MINOR B Funds have been allocated for this project:**  
Program Manager

---

SIGNATURE

---

DATE

**Budget Verification of Special Funded Projects**  
**(NOT VOTED BY CTC)**

DISTRICT:

EXPENDITURE AUTHORIZATION:

COUNTY:

ROUTE:

KILOMETER POST:

ESTIMATE OF COST: \$

FISCAL YEAR(S):

SOURCE OF FUNDS:

---

---

---

---

SIGNATURE DISTRICT RESOURCE MANAGER

DATE

# **INFORMATION HANDOUT**

## **WATER QUALITY**

CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD  
NORTH COAST REGION  
SAN FRANCISCO BAY SECTION

LOS ANGELES REGION  
LAHONTAN REGION  
SANTA ANA REGION  
CENTRAL VALLEY REGION  
CENTRAL COAST  
COLORADO RIVER BASIN

BOARD ORDER NO. ##-###  
NPDES PERMIT NO. CAS#####

## **PERMITS**

STATE OF CALIFORNIA  
DEPARTMENT OF FISH AND GAME

NOTIFICATION NO. ##-####

UNITED STATES ARMY CORPS OF ENGINEERS  
NON-REPORTING NATIONWIDE 404 PERMIT

SAN FRANCISCO BAY CONSERVATION DEVELOPMENT COMMISSION  
BCDC - M##-##

BAY AREA AIR QUALITY MANAGEMENT DISTRICT

UNITED STATES COAST GUARD

STATE OF CALIFORNIA  
STATE LANDS COMMISSION

DEPARTMENT OF THE TREASURY  
U.S. CUSTOMS SERVICE

TAHOE REGIONAL PLANNING AGENCY

## **AGREEMENTS**

CALIFORNIA DEPARTMENT OF FISH AND GAME

NOTIFICATION NO.#####

COUNTY OF LOS ANGELES  
PLANNING DIVISION  
OF THE PLANNING AND BUILDING DEPARTMENT

NATIONAL MARINE FISHERIES SERVICES

KARUK TRIBE MOU (Indian Reservation Ordinances)

UNITED STATES FISH AND WILDLIFE SERVICE (Biological Opinion)

## **ENCROACHMENT PERMITS**

RIVERSIDE COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT  
PERMIT NO. #-#-###-####

COUNTY OF LOS ANGELES  
DEPARTMENT OF PUBLIC WORKS

SACRAMENTO COUNTY  
DEPARTMENT OF PUBLIC WORKS

YOLO COUNTY  
DEPARTMENT OF PUBLIC WORKS AND TRANSPORTATION

CITY OF LOS ANGELES  
DEPARTMENT OF WATER AND POWER

COUNTY OF LOS ANGELES  
DEPARTMENT OF PUBLIC WORKS  
FLOOD CONTROL DISTRICT

COUNTY OF MENDOCINO  
DEPARTMENT OF PLANNING AND BUILDING SERVICES  
COASTAL DEVELOPMENT

COUNTY OF SAN BERNARDINO  
PUBLIC SERVICES GROUP  
TRANSPORTATION/FLOOD CONTROL DEPARTMENT

# **MATERIALS INFORMATION**

LEAD INVESTIGATION REPORT

SITE INVESTIGATION REPORT

ASBESTOS SURVEY

FOUNDATION RECOMMENDATION

FOUNDATION REVIEW

CORROSION REPORT

RETAINING WALL TEST BORINGS REPORT

GEOTECHNICAL DESIGN REPORT

OPTIONAL DISPOSAL/MATERIAL SITES

PROJECT REPORT

QUALIFICATION FOR ULTRASONIC TESTING PERSONNEL  
(Handbook)

SOIL & ROCK LOGGING CLASSIFICATION MANUAL  
(Field Guide)

**ROUTE: Dist-CO-RTE-PM**

## DISTRICT PS&E QUALITY FEEDBACK

### DES-OE Specification Engineer:

This feedback is to be prepared at Draft Contract Ready for all projects regardless of Services requested. The feedback will be used to recommend a baseline for acceptable quality of a District PS&E.

### District Office Engineer:

This feedback is provided to assist you with the preparation of future PS&Es. Please review the comments with the Project Engineer.

Date Feedback Form Initiated: 4/16/2008

### Project Information:

DEA: test  
 Dist-Co-Rte-KP: \_\_\_\_\_  
 Proj. Cost Estimate at Submittal: \_\_\_\_\_  
 No. of Plan Sheets at Submittal: \_\_\_\_\_  
 No. of Contract Items at Submittal: \_\_\_\_\_

### PS&E Readiness:

(Click to select option)

Proj. Cost Estimate at DCR: \_\_\_\_\_  
 No. of Plan Sheets at DCR: \_\_\_\_\_  
 No. of Contract Items at DCR: \_\_\_\_\_

**Service Level:**   ☐ 1   ☐ 2 (AADD)

### Personnel:

#### **District:**

DOE Senior: \_\_\_\_\_  
 Specification Engineer: \_\_\_\_\_  
 Project Engineer: \_\_\_\_\_  
 Project Manager: \_\_\_\_\_

#### **DES-OE:**

Specification Engineer: \_\_\_\_\_  
 Area Senior: \_\_\_\_\_  
 Landscape Architect: \_\_\_\_\_  
 Electrical Engineer: \_\_\_\_\_

### Project Plans:

1. Were there any missing project plans?   ☐ Yes   ☐ No

If so, list the missing plan sheets: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

2. Were there any non-conforming project plans?   ☐ Yes   ☐ No

If so, which policy did it deviate from?: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

3. Were there any missing details?   ☐ Yes   ☐ No

If so, list the missing details: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

4. Were there any plan sheets replaced during draft contract processing?   ☐ Yes   ☐ No

If so, list the replaced plan sheets: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

### Contract Specifications:

1. Were there any missing specifications?   ☐ Yes   ☐ No

If so, list the missing specifications: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

(Example:  
19-010)

2. Were there any non-conforming specifications? ☐ Yes ☐ No

If so, list the non-conforming specifications under the category provided:

A. Incorrectly edited specifications: .....

.....

.....

.....

B. Inapplicable specifications: .....

.....

.....

C. Unapproved NSSPs: .....

.....

.....

D. Others, list: .....

.....

.....

### **Project Cost Estimate:**

1. Were there any missing contract, Supplemental and/or State Furnished items? ☐ Yes ☐ No

If so, list the missing items: .....

.....

.....

.....

2. Were there any non-conforming items? ☐ Yes ☐ No

If so, list the item numbers in the indicated categories:

A. Quantities not matching the plans: .....

.....

.....

B. Non-standard Unit of Measure: .....

.....

.....

C. Incorrect Item Code Number: .....

.....

.....

D. Incorrect Item Code Description: .....

.....

.....

E. Item Incorrectly and/or not designated as specialty "S" or final pay "F": .....

.....

.....

F. Inapplicable Items: .....

.....

3. Contingency deviated from the 5% but no Approval: ☐ Yes ☐ No

4. Mobilization not included or deviated from the 10% with no approval: ☐ Yes ☐ No

5. Supplemental Work Items that exceed the limit but no Approval:

.....

.....

.....

6. Others, list: .....

.....

.....



## **FHWA Oversight Guidelines**

Under the new Stewardship and Oversight Agreement executed on September 4, 2007, FHWA's oversight responsibilities were changed from what they were in prior years. To reflect this change, the terms "Full Oversight" and "Exempt" related to federal oversight were dropped and replaced with the terms "High Profile" and "Delegated" respectively. For projects that are identified as "High Profile", a High Profile Project Agreement will be required to be executed between FHWA and the Department. The agreement will identify specific items that FHWA will have approval authority over and also items whereby the approval authority will be delegated to the Department. It is necessary to identify High Profile Projects (HPP) and FHWA Approval/Involvement early in the Project Identification (Planning)/Initiation (PID) Phase of the project. However, the HPP identification process is to be applied during and in between each phase of the project as illustrated in the following flowchart.

The HPP determination will be made at the Caltrans District level in conjunction with FHWA. Therefore, Caltrans' current method of project identification and/or initiation will be the basis for determining HPPs with a few additional steps phased into the process. The criteria for HPPs include:

- Controversial and Congressional Interest projects
- Pilot projects
- Executive Order 13274 projects – Environmental Stewardship and Streamlining
- Interstate Projects containing:
  - Design exceptions relating to the 13 controlling criteria
  - New or modified access points
  - Innovative contracting method projects with Federal funds
- Invitational projects
- Major Intelligent Transportation Systems (ITS) projects
- Major Projects (>\$500 M)
- Non-Traditional Revenue Sources projects
- Special Experimental Projects – Alternative Contracting (SEP-14)
- Special Experimental Projects – Public Private Partnerships (SEP-15)
- Unusual Bridge and Structure projects

Projects not meeting the above criteria and/or not selected as HPPs will be considered to be Delegated Projects and Caltrans will have approval authority for all aspects of the federal-aid project, except those which may not be delegated by federal law (requiring FHWA approval). For the Delegated Projects, FHWA will verify compliance with federal regulations via annual program and process reviews.

More detailed information on the HPP identification process is found in the "California Stewardship & Oversight Agreement Approach to Identifying "High Profile" Projects" Guidelines (see Appendix D).

# Summary

The Strategic Project Oversight Selection Process would identify High Profile Projects for additional oversight by FHWA. These projects would meet the criteria described below and be mutually agreed to by FHWA and Caltrans. This review process diagram charts what these criteria are, when they may be met, and how a project would be selected for FHWA involvement. All projects not meeting these criteria would be delegated to Caltrans and administered per the Project Responsibilities List.

## Summary Criteria:

- Congressional Interest, Controversial etc
- Demonstration and Pilot projects
- Executive Order 13274
- Interstate projects (Reduced Design Standards, Interchanges that are removed, new or require major modification, Innovative Contracting methods)
- Invitational (by Caltrans)
- Major ITS projects
- Major Projects (> \$500M)
- Non-traditional Revenue Sources
- SEP-14 and SEP-15
- Unusual bridges and structures

- Congressional Interest, Controversial etc
- Demonstration and Pilot projects
- Executive Order 13274
- Interstate projects (Reduced Design Standards, Interchanges that are removed, new or require major modification, Innovative Contracting methods)
- Invitational (by Caltrans)
- Major ITS projects
- Major Projects (> \$500M)
- Non-traditional Revenue Sources
- SEP-14 and SEP-15
- Unusual bridges and structures

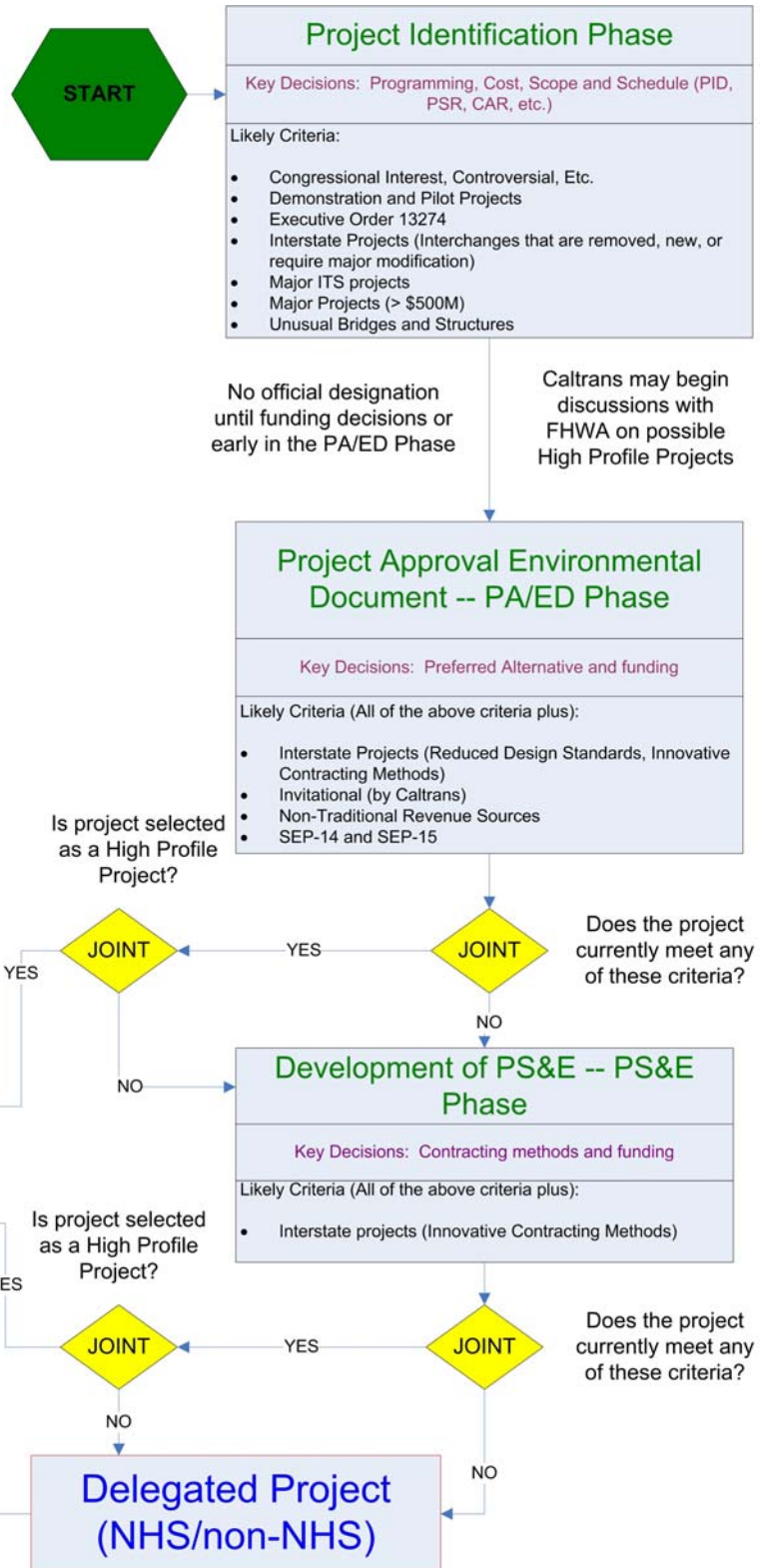
# High Profile Project

Action: Identify approving organization based on level of risk for each activities highlighted yellow on Project Responsibilities List

- High Risk = FHWA maintains approval authority
- Low Risk = Caltrans assumes approval authority

- High Risk = FHWA maintains approval authority
- Low Risk = Caltrans assumes approval authority

Project is administered per the Project Responsibilities List



**RECORD OF PRIOR APPROVAL FOR  
CONTRACT ADDENDUM**

PROJECT NO.	ADDENDUM NO.	CONTRACT NO.	DIST-CO-RTE-PM
REQUESTED BY		<input type="checkbox"/> CALTRANS HQ <input type="checkbox"/> RE / DISTRICT	DATE:
PROPOSED CHANGE:			
REASON FOR CHANGE:			

RECOMMENDATION FOR APPROVAL

APPROVAL

\_\_\_\_\_  
Operations Engineer

\_\_\_\_\_  
Team Leader  
Program Delivery Team

DATE: \_\_\_\_\_

DATE: \_\_\_\_\_

## Public Interest Finding Form (rev. 2004)

The Public Interest Finding Guidelines (see Appendix D) provide the necessary details for processing Public Interest Findings.

U.S. DEPARTMENT OF TRANSPORTATION		FEDERAL HIGHWAY ADMINISTRATION		CALIFORNIA DEPARTMENT OF TRANSPORTATION	
<b>TRANSMITTAL AND REQUEST FOR APPROVAL OF PUBLIC INTEREST FINDING</b>					
<input type="checkbox"/> Waiver To Buy America Requirements (23 CFR 635.410) <input type="checkbox"/> Experimental Contracting Methods <input type="checkbox"/> Informal Bid (Less Than Three Week Advertisement) <input type="checkbox"/> Use Of Force Account (Day Labor) <input type="checkbox"/> Use Of Publicly Owned Equipment			<input type="checkbox"/> Use Of State-Furnished Materials (23 CFR 635.407) <input type="checkbox"/> Mandatory Use Of Borrow/Disposal Sites (23 CFR 635.407) <input type="checkbox"/> Use Of Patented And Proprietary Materials (23 CFR 635.411) <input type="checkbox"/> Other:		
FEDERAL-AID PROJECT NO.		CLASS OF FEDERAL FUNDS: <input type="checkbox"/> IM <input type="checkbox"/> NH <input type="checkbox"/> STP <input type="checkbox"/> OTHER:			
EA	DIST-CO-RTE-KP (PM)	ESTIMATED COST		FEDERAL FUNDS	
GENERAL LOCATION:		GENERAL DESCRIPTION OF WORK			
REASONS THAT REQUESTED APPROVAL IS CONSIDERED TO BE IN THE PUBLIC'S INTEREST (STATE):					
REMARKS (STATE):					
APPROVED BY STATE'S FEDERAL-AID-ENGINEER			TITLE:		DATE:
REMARKS (FHWA):					
APPROVED BY FHWA'S ENGINEER			TITLE:		DATE:
NOTE: FHWA'S SIGNATURE NOT REQUIRED FOR STATE-AUTHORIZED PROJECTS, EXCEPT FOR "BUY AMERICA"					
FNM-406 (REV. 2004)					